2/26

AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Haller et al. FEB 2 6 2004					. Docket No. DE920000008US1		
Serial No.		g Date	Examiner		Group Art Unit		
09/838,068		9/2001 340544	Patel H.		2126		
Invention: ACTIVE WINDOW MANAGEMENT FOR REORDER BUFFER							
RECEIVED							
	TO THE COMMISSIONER FOR PATENTS: MAR 0 3 2004						
Transmitted herewith	is an amendment in	n the above-identified a	application.		Technology Center 2100		
		mitted as shown belov	• •		,		
CLAIMS AS AMENDED							
C	LAIMS REMAINING	HIGHEST#	NUMBER EXTRA	DATE	ADDITIONAL		
A	FTER AMENDMENT	PREV. PAID FOR	CLAIMS PRESENT	RATE	FEE		
TOTAL CLAIMS	14 -	20 =	0	x \$18	.00 \$0.00		
INDEP. CLAIMS	3 -	3 =	0	x \$86	·		
Multiple Dependent C	laims (check if app	licable)			\$0.00		
		TOTAL ADDITIONAL	FEE FOR THIS AMI	ENDMENT	\$0.00		
 No additional fee is required for amendment. □ Please charge Deposit Account No. in the amount of □ A check in the amount of to cover the filing fee is enclosed. ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0463 (IBM) ☒ Any additional filing fees required under 37 C.F.R. 1.16. ☒ Any patent application processing fees under 37 CFR 1.17. 							
Blanche E. Schiller, I Registration No. 35,6 Heslin Rothenberg F 5 Columbia Circle Albany, New York 1	570 arley & Mesiti P.C. 2203-5160	D	Feb. <u>23</u> , 200 class mail under	document a 4 with 37C.F.R. 1	and fee is being deposited on the U.S. Postal Service as first .8 and is addressed to the andria, VA 22313-1450.		
Telephone: 518-452- Facsimile: 518-452-	5579 — —) בינו מובים	Blanche	E. Se	chillen		

CC:

Signature of Person Mailing Correspondence

Blanche E. Schiller

Typed or Printed Name of Person Mailing Correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Haller et al.

Confirmation No.: 8778

Serial No.:

09/838,068

Group Art Unit: 2126

Filed:

04/19/01

Examiner: Haresh N. Patel

Title:

Active Window Management for Reorder Buffer

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 23, 2004.

Blanche E. Schiller
Attorney for Applicants

Registration No. 35,670

Date of Signature: February 23, 2004.

To:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Statement of Relevance

Dear Sir:

The following Statement of Relevance is submitted with the accompanying Information Disclosure form.

DOCUMENT DESIGNATION		RELEVANCE
AA	US 5,740,393	AA discloses a method for enforcing instruction pointer
		limits in a processor that performs speculative out-of-
		order instruction execution.
AB	US 5,627,984	AB discloses a method and apparatus for allocating
		vacant entries of a buffer resource that utilizes an internal
		two-clock-cycle pipeline.
AC	US 5,584,038	AC discloses entry allocation in a circular buffer using
		wrap bits to indicate whether a linear queue of the
		circular buffer has been traversed.
AD	Farrell, et al.	AD discloses issue logic and circuits for a 20-entry

		instruction queue which scoreboards 80 registers and issues four instructions per cycle in a 600-MHz out-of-order execution microprocessor.
AE	Palacharla, et al.	AE discloses a microarchitecture for superscalar processors that puts chains of dependent instructions into queues and issues instructions from multiple queues in parallel.
AF	Gaddis, et al.	AF discloses a 56-entry instruction reorder buffer, consisting of a 28-entry instruction reorder buffer for ALU operations and a 28-entry instruction reorder buffer for memory operations, for a speculative-execution RISC CPU.

A full text copy of the art cited, or the pertinent portions thereof, is enclosed. It is respectfully requested that this art be considered by the Examiner in the above-entitled application and made of record therein. The information provided and reference enclosed herewith shall not be construed as a representation that a search has been made or that no other art than that identified exists.

Should the Examiner wish to discuss this case with applicants' attorney, please contact applicants' attorney at the below listed number.

Respectfully submitted,

tephen M. Hladik

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Attorney for Applicants Registration No. P55,270

Dated: February <u>23</u>, 2004.

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